Attorney Docket No. 5051-531DV

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Zhibo Zhang Serial No.: 10/677,350 Group Art Unit: 2811 Confirmation No.: 9811

Filed: October 2, 2003

For:

VERTICAL FIELD EFFECT TRANSISTORS INCLUDING CONFORMAL

MONOCRYSTALLINE SILICON LAYER ON TRENCH SIDEWALL

January 21, 2004

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 C.F.R. § 1.97(b)

Sir:

Attached is a form PTO-1449, together with a copy of the identified document. It is requested that this document be considered by the Examiner and officially made of record in accordance with the provisions of 37 C.F.R. §1.56 and Section 609 of the MPEP.

This Information Disclosure Statement is submitted in accordance with 37 C.F.R. §1.97(b), within three months of the filing date of the above-referenced application or before the mailing of a first Office Action on the merits, whichever event occurs last. Therefore, no fee is believed due. However, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 50-0220.

Respectfully submitted

Mitchell S. Bigel

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Certificate of Mailing under 37 CFR § 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on January 21/2004

Susan E. Freedman

Date of Signature: January 21, 2004

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Substitute form 1449A/PTO	C	Complete if Known		
1	Application Number	10/677,350		
INFORMATION DISCLOSURE JAN 2 3 2004	Filing Date	10/02/2003		
STATEMENT BY APPLICANTA	First Named Inventor	Zhibo Zhang		
	Group Art Unit	2811		
(use as many sheets as necessary)	Examiner Name			
Sheet 1 of 1	Attorney Docket Number	5051-531DV		

		<u>- </u>	U.S. PATENTS A	ND PATENT PUBLICATIONS	
Examiner Initials*	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited	Date of Publication of Cited
		Number	Kind Code (if known)	- Document	Document MM-DD-YYYY
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	INO.	Office	Number	Kind Code (if known)	Document	of Cited Document MM-DD-YYYY	
	1	EP	0 989 599	B1	Lucent Technologies, Inc.	06/02/2003	
	 	 					
 		 					
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Examiner Signature	Date Considered	